

1 Multi-centennial summer and winter precipitation 2 variability in southern South America

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6 [1] We present the first spatially and temporally highly
7 resolved gridded reconstruction of multi-centennial
8 precipitation variability for southern South America (SSA).
9 A novel reconstruction approach of deriving 10,000
10 ensemble members based on varying predictor networks
11 and methodological settings allows identification of
12 spatiotemporal changes in SSA precipitation and associated
13 uncertainties. The summer and winter reconstructions
14 back to AD 1498 and AD 1590, respectively, provide
15 new evidence for multi-centennial increase in summer
16 precipitation and an opposing decrease in winter
17 precipitation into the 20th century. The drying in winter
18 is significant over large parts of SSA, whereas the
19 patterns for summer, possibly representing convective
20 rainfall, have displayed high spatial variability. The fact
21 that such long-term seasonal and spatial changes have
22 occurred in the past, underlines the complex form that
23 hydroclimatic variability might have in the future. This
24 emphasizes the need for careful adaptation strategies as
25 governments become attuned to the realities of climate
26 change. **Citation:** Neukom, R., J. Luterbacher, R. Villalba,
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31 1. Introduction

32 [2] The fundamental dependence of all living beings on
33 water makes projected spatial, temporal, and seasonal var-
34 iations in water-supply a critical factor in determining how
35 well societies can adapt to on-going climate change. Fur-
36 thermore, changes in the seasonal patterns and cycles may
37 also have significant consequences on snow versus rain
38 totals, runoff rates and ecosystem functioning and accord-

ingly require new agricultural practices. Knowledge of past
47 variations in the hydrological cycle is of crucial importance
48 for placing recent moisture changes on local, regional and
49 continental scales into a long term context and understand-
50 ing the processes driving these changes [*Jansen et al.*, 2007;
51 *Jones et al.*, 2009]. However, gridded (proxy based) re-
52 constructions of moisture variability are still rare and pre-
53 dominantly restricted to the Northern Hemisphere [e.g.,
54 *Cook et al.*, 2004, 2010; *Pauling et al.*, 2006], mostly due
55 to the limited number of annually-resolved precipitation-
56 sensitive proxy data available. 57

[3] Due to the modulating effect of the Andes and the
58 influence of distinct oceanic and atmospheric patterns such
59 as the El Niño–Southern Oscillation, the Southern Annular
60 Mode, and the South American Summer Monsoon, South
61 America’s precipitation regime is particularly variable [e.g.,
62 *Garreaud et al.*, 2009] (see also Figure 1). Considering that
63 South America’s economies and societies are highly
64 dependent on hydropower generation and irrigation [*Magrin*
65 *et al.*, 2007], it is important to quantify past and present
66 precipitation variability and extremes in this region as
67 detailed as possible. 68

[4] In southern South America (SSA, south of 20°S), the
69 number of precipitation-sensitive records from paleoclimatic
70 archives, such as tree rings [*Boninsegna et al.*, 2009], docu-
71 mentary evidence [*Neukom et al.*, 2009] and lake sediments
72 [e.g., *Moy et al.*, 2009] has significantly increased within
73 the last decade. Herein, we combine the currently available
74 annually or higher resolved paleoclimatic evidence with long
75 instrumental data to derive gridded (0.5° × 0.5°), seasonal
76 SSA precipitation reconstructions. Separately reconstructed
77 austral summer and winter precipitation fields with associated
78 uncertainties are provided back to the late 15th (summer) and
79 16th (winter) centuries. These reconstructions represent the
80 first spatially explicit estimates of large-scale SSA precipi-
81 tation prior to the instrumental era. 82

2. Data and Methods 83

2.1. Instrumental Calibration Data 84

[5] We use the new 0.5° × 0.5° and monthly resolved
85 CRU TS 3 gridded precipitation dataset (updated from
86 *Mitchell and Jones* [2005]) covering 1901–2006 as instru-
87 mental target. The SSA region is defined as all land grid
88 cells between 20°S–55°S and 80°W–30°W. The re-
89 constructions are performed for austral summer (December
90 to February; DJF) and winter (June to August; JJA). These
91 seasons were selected based upon tests of the optimal
92 seasonal response windows of the proxy records (not shown).
93 We used the period 1931–1995 for generating ensemble
94 calibration/verification reconstructions. Before 1931, the
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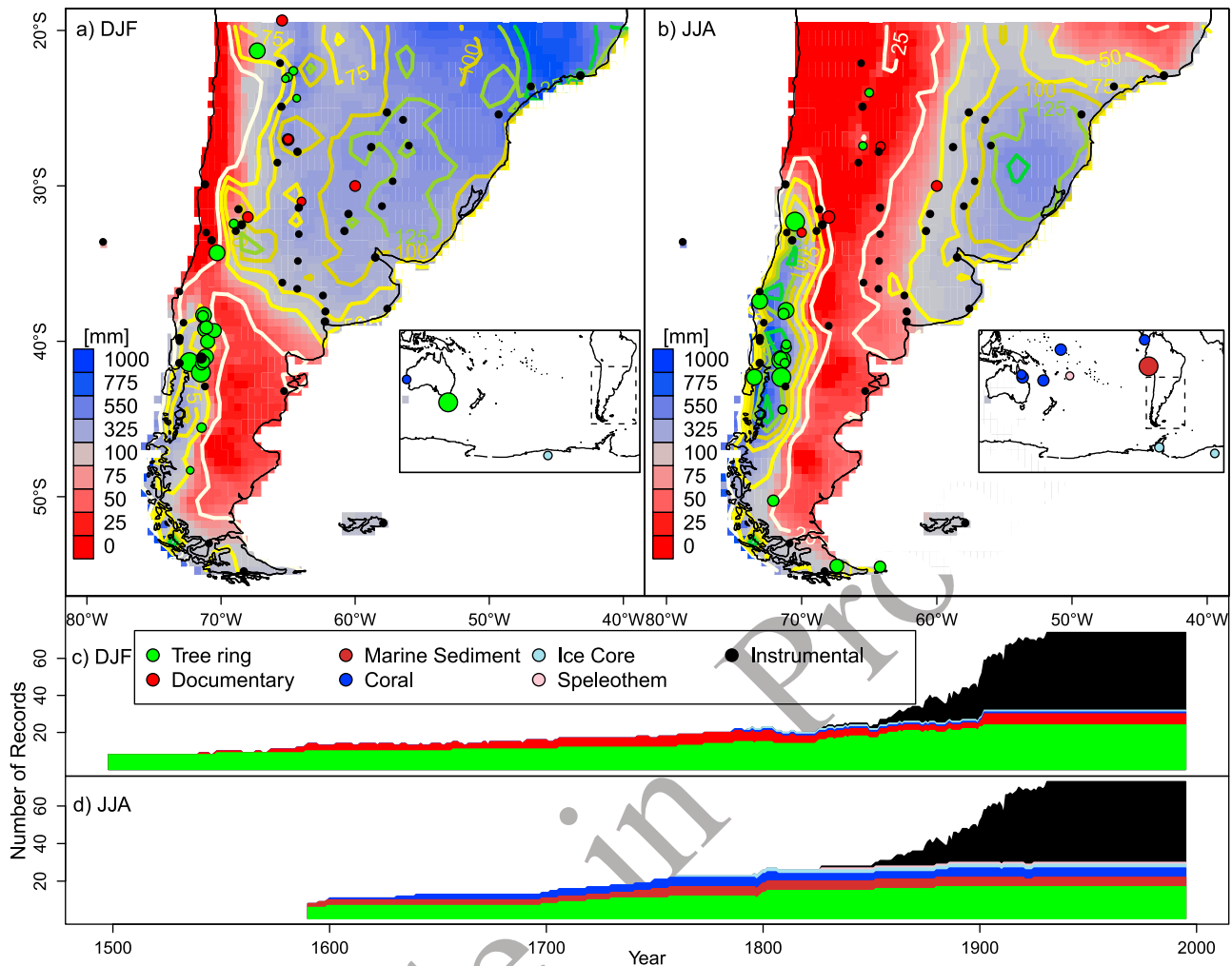


Figure 1. Locations of the predictors used for the (a) summer and (b) winter reconstructions. The size of the circles represents the lengths of the series (smallest: 90 years, largest: >1000 years). The reconstruction area is marked by a dashed margin in the small maps. Shaded colors in the SSA-maps represent the 1931–1995 average precipitation [mm]. Notice the different scale in the reddish and bluish colors. The contour lines indicate precipitation standard deviations 1931–1995 [mm]. Temporal evolution of the number of predictors used for (c) summer and (d) winter.

96 quality of the gridded data is reduced due to a strong decline
97 in available station data [see, e.g., *Garreaud et al.*, 2009;
98 *Neukom et al.*, 2010].

99 2.2. Predictor Data

100 [6] As a basis for the selection of the predictors, we use
101 the SSA proxy network established by *Neukom et al.* [2010]
102 consisting of 144 natural proxies (tree rings, ice cores,
103 corals, speleothems, lake and marine sediments) and docu-
104 mentary records sensitive to SSA climate. From this network,
105 the records significantly correlating with the instrumental
106 target in the overlapping period are selected (see auxiliary
107 material).¹ Additionally, long instrumental precipitation
108 series from SSA (GHCN [*Peterson and Vose*, 1997]) with
109 data prior to 1920 and covering at least 50 years within the
110 1931–1995 calibration window are included as predictors.

Table S1 (Table S2) presents the final predictor network 111
consisting of 33 (31) proxy records and 41 (42) instrumental 112
series for summer (winter). The locations of the proxies as 113
well as their temporal availability are shown in Figure 1. 114
Some of the proxy records are related to SSA precipitation by 115
large-scale teleconnection patterns [e.g., *Villalba et al.*, 116
1997]. *Neukom et al.* [2010] showed that consideration of 117
such remote proxies can substantially improve SSA climate 118
reconstructions. The selected predictors are fully independ- 119
ent from those used by *Neukom et al.* [2010] to reconstruct 120
seasonal temperature fields. Missing values (<0.1%) in the 121
predictor matrices during the calibration period were esti- 122
mated using an EOF (empirical orthogonal functions) based 123
algorithm [*Neukom et al.*, 2010; *Scherrer and Appenzeller*, 124
2006]. 125

2.3. Reconstruction Methodology

[7] We performed the reconstructions using ordinary least 127
squares principal component regression (PCR) [e.g., *Küttel* 128

¹Auxiliary materials are available in the HTML. doi:10.1029/2010GL043680.

129 *et al.*, 2010; *Luterbacher et al.*, 2002, 2004; *Neukom et al.*,
 130 2010; *Pauling et al.*, 2006; *Xoplaki et al.*, 2005]. In PCR,
 131 transfer functions between a certain number of principal
 132 components of the predictor and instrumental data are
 133 established in the calibration period using multiple linear
 134 regressions. This relationship is then used to predict the
 135 values of the predictand in the reconstruction period based on
 136 the assumption that the relationship is linear and stable over
 137 time (see *Luterbacher et al.* [2002] for a detailed discussion
 138 of the methodology). As the number of available predictors
 139 changes over time (Figures 1c and 1d and Tables S1 and S2),
 140 individual regression models are calculated using at each
 141 time step the maximum number of available predictors,
 142 resulting in a total of 88 (86) statistical models for winter
 143 (summer). To avoid variance biases due to the decreasing
 144 number of predictors back in time [e.g., *Cook et al.*, 2004;
 145 *Frank et al.*, 2007], the reconstructions of each model were
 146 scaled to the variance of the instrumental target in the 1931–
 147 1995 overlap period. It is well known that the selection of the
 148 predictors, calibration and verification periods, as well as
 149 parameters within the reconstruction methodology influence
 150 reconstructed values [e.g., *Rutherford et al.*, 2005; *Wahl and*
 151 *Ammann*, 2007]. Yet, as objective selection criteria are
 152 largely missing, we derive an ensemble of 10,000 re-
 153 constructions, with each member being based on different
 154 reconstruction settings [see also *Frank et al.*, 2010; *van der*
 155 *Schrier et al.*, 2007]. The settings are varied for each
 156 ensemble member by randomly (1) withholding five pre-
 157 dictors from the predictor dataset; (2) choosing 43 (non-
 158 successive) years (corresponds to two thirds of all years)
 159 within the 1931–1995 overlap period for calibration. The
 160 remaining third (22 years) are used for verification; (3)
 161 varying the percentage of total variance explained by the
 162 retained PCs between 60% and 95%, corresponding to 8 (1)
 163 to 39 (13) PCs of the instrumental (predictor) data matrix.
 164 This is done individually for the instrumental as well as
 165 predictor matrices.

166 [8] Even with these settings, not all parameters in the
 167 reconstruction methodology are objectively considered. For
 168 example, withholding five predictors is a compromise
 169 between allowing reconstructions to be derived reasonably
 170 far back in time and introducing sufficient variability between
 171 ensemble members. Further, the range of PCs chosen to be
 172 retained is somewhat arbitrary, however representing the
 173 range commonly used in comparable reconstructions. Con-
 174 sequently, we obtain a distribution function for the re-
 175 constructed values, rather than a single value as for
 176 conventional methodologies. For the reconstruction of pre-
 177 cipitation P at each location and time step, median values of
 178 the ensemble members (see Figure S1) are calculated. In
 179 order to minimize variance biases due to changes in the
 180 correlations between the 10,000 realizations, P is variance
 181 adjusted using the RUNNINGr-adjustment described by

Frank et al. [2007]. It must be noted that uncertainties that
 may arise from systematic methodological biases, such as
 variance losses and mean biases [e.g., *Smerdon et al.*, 2010,
 and references therein], are not captured by our ensemble
 approach. Predictor data availability allows the reconstruction
 of SSA summer (winter) precipitation back to AD 1498
 (1590), where eight predictors are available. Other recon-
 struction techniques were also tested (composite plus scaling
 and regularized expectation maximization). They yielded
 similar ensemble means, but lower regression skills and
 occasionally extreme outliers of single ensemble members
 [see also *Neukom et al.*, 2010; *Wilson et al.*, 2010]. We
 therefore confine our analysis to the results of PCR.

3. Results and Discussion

[9] The reconstructed spatial precipitation patterns, dis-
 played as century-averaged anomalies relative to the 1931–
 1995 mean, indicate that austral summers of the 17th to 19th
 centuries were in most regions drier than climatology, par-
 ticularly in the La Plata Basin and Patagonia (Figure 2).
 Anomalously wet conditions prevailed during this period in
 the subtropical Andes, north-eastern SSA and Tierra del
 Fuego. The 16th century shows a different picture with
 mostly positive (negative) anomalies south (north) of
 approximately 37°S. Except for some regions in northern
 Patagonia, Tierra del Fuego and north-eastern SSA, 17th to
 19th century austral winters were generally wetter than cli-
 matology. The maps in the bottom row of Figure 2 depict the
 areas where the 1931–1995 period was drier (red) or wetter
 (blue) than all preceding centuries. Dark shadings delineate
 areas where all of the four (three) previous centuries were
 significantly ($p < 0.05$; Wilcoxon test) drier or wetter than the
 1931–1995 summers (winters). Modern summer conditions
 (1931–1995) are reconstructed to be significantly wetter than
 any of the preceding centuries' mean over entire Patagonia.
 Parts of north-western Argentina and north-eastern SSA are
 in contrast found to be drier. In winter, significant drying can
 be found across large areas of SSA. The only region where
 the change is significant and of the same sign in both seasons
 (dry 1931–1995) is north-western Argentina. Although we
 have shown how precipitation varies in space throughout
 time, it is also interesting to assess the temporal changes
 averaged over particular regions and the entire SSA domain.
 This is shown in Figure 3 (for alternative illustrations of the
 ensemble members see Figures S2–S5, statistical skill mea-
 sures see section S3 and Figures S6–S12 of Text S1).
 Averaged over SSA, reconstructed summers are generally
 drier than climatology between 1600–1930 but slightly
 wetter in the 16th century. Winter conditions in the 17th to
 19th centuries reveal an opposite picture with reconstructed
 precipitation mostly being above climatology. The robust-
 ness of these conclusions clearly changes over time, with the
 spread of the ensemble members decreasing towards the

Figure 2. Average precipitation anomalies of the 16th (top row, only for summer), 17th (second row), 18th (third row) and 19th (fourth row) centuries relative to the calibration period (1931–1995). Contour lines indicate the average interannual reconstruction uncertainties in the respective century, defined as the root mean squared difference between the ensemble median P and the 5th and 95th percentiles of the ensembles, respectively. All values are shown relative to the instrumental standard deviation 1931–1995 in order to take account of the large regional variations in precipitation within SSA (Figure 1). Areas, where the 1931–1995 average was drier (red) or wetter (blue) than all means of the 16th–19th centuries for summer and 17th–19th centuries for winter (fifth row). Dark colors indicate significant results ($p < 0.05$; Wilcoxon test). (left) Summer; (right) winter.

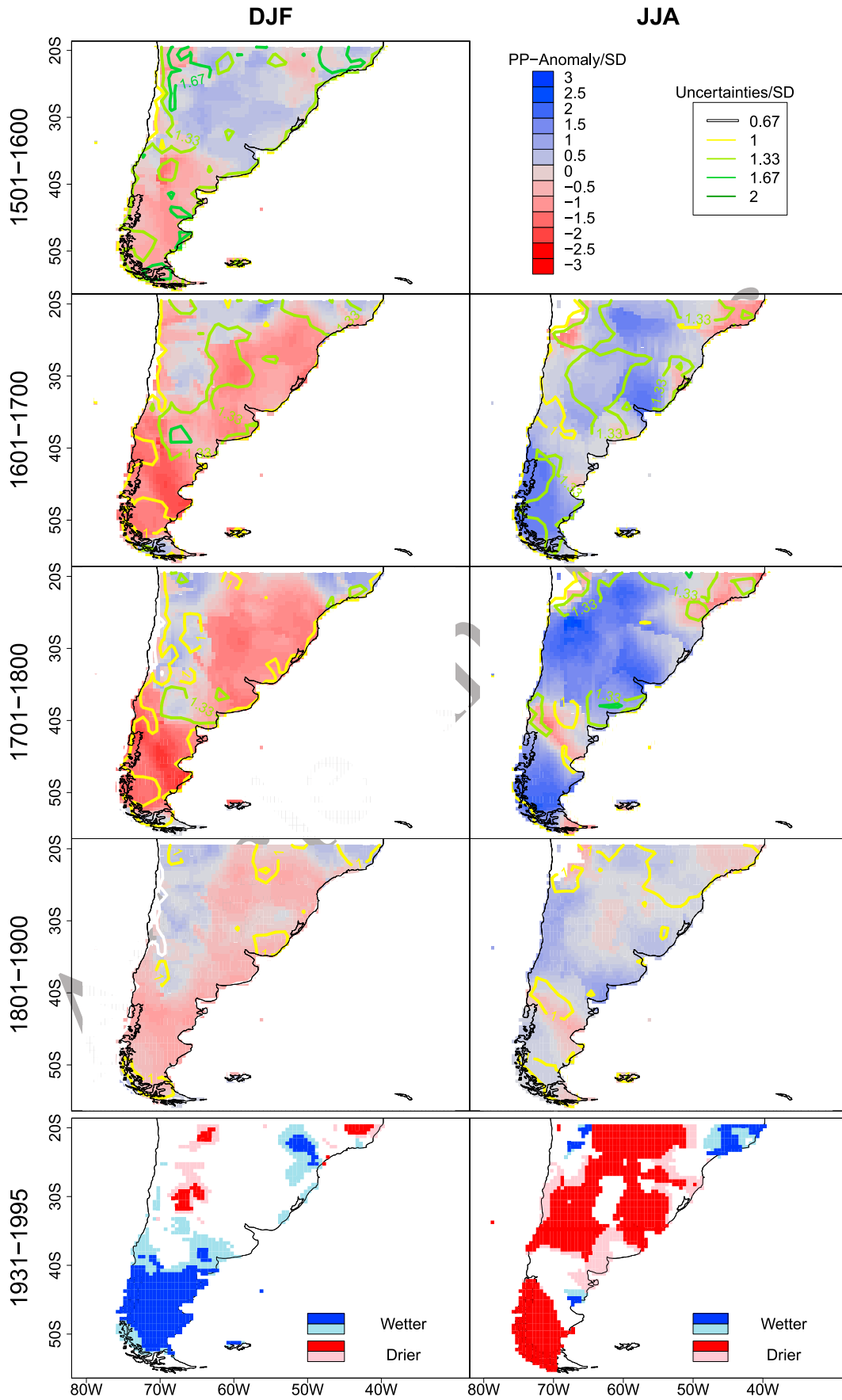


Figure 2

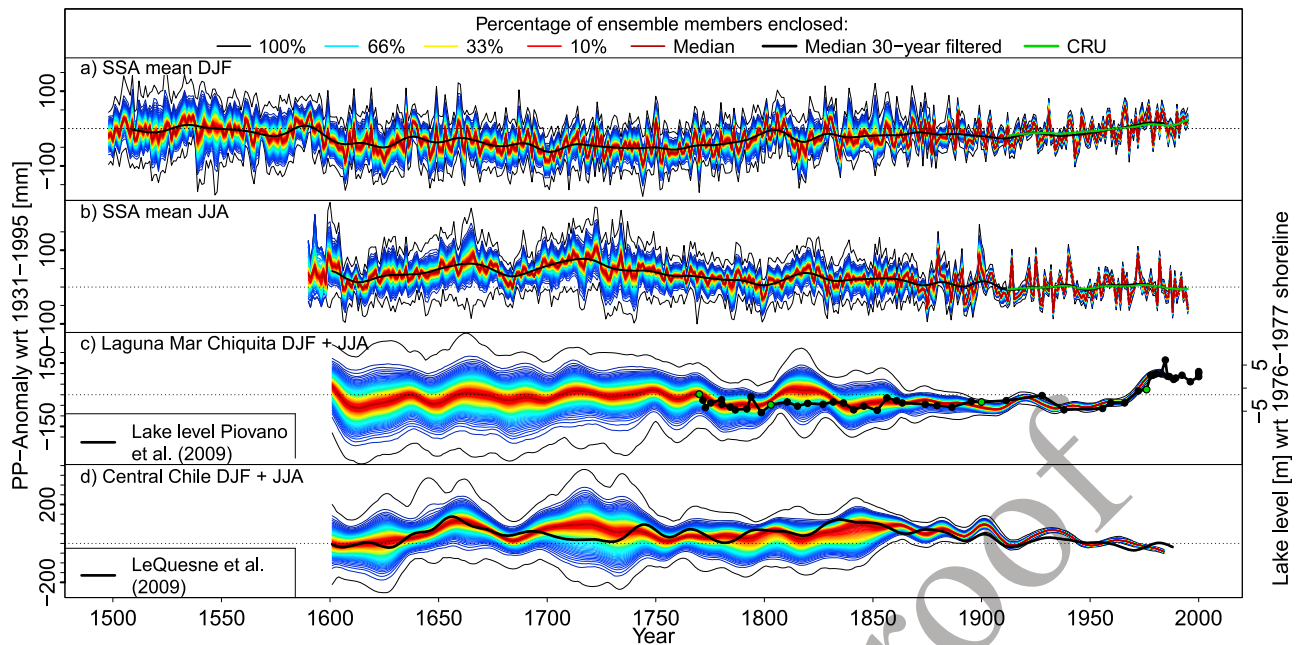


Figure 3. Percentiles of the reconstruction ensembles. Each line represents a percentile. The area between the black lines encloses all (100%) members; the area between the lowest blue line (1st percentile) and the highest blue line (99th percentile) blue lines encloses 98% of the members, and so on. SSA mean summer (winter) precipitation reconstruction (a) 1498–1995 and (b) 1590–1995, anomalous to the 1931–1995 average. Bold lines: 30-year Gaussian filtered ensemble median (black) and CRU gridded (green) precipitation. (c) 30-year Gaussian filtered annual precipitation (DJF + JJA, accounting for 63% of the annual instrumental precipitation totals) in the catchment area of Laguna Mar Chiquita and the lake level reconstruction of *Piovano et al.* [2009] (black, green points are dated, other dates are linearly interpolated). (d) 30-year filtered Central Chile annual precipitation (DJF + JJA, accounting for 78% of annual instrumental totals) compared to the results of *Le Quesne et al.* [2009] (black, 30-year filter).

234 end of the 19th century when instrumental predictors
 235 become increasingly available. As an independent validation,
 236 Figure 3c shows our reconstruction (DJF + JJA sum) aver-
 237 aged over the catchment area of the Laguna Mar Chiquita in
 238 northern Argentina along with the lake level reconstruction
 239 by *Piovano et al.* [2009] (methodological details see the
 240 auxiliary material). Both curves indicate dry conditions
 241 between 1770 and 1950, followed by a sharp increase towards
 242 present. The pluvial period in the first half of the 19th century
 243 in our reconstruction is, however, not confirmed by the lake
 244 level reconstruction. In this period, the spread of our
 245 ensemble members is relatively large, indicating reduced
 246 reliability of the median values. Figure 3d presents our
 247 reconstruction (DJF + JJA) in Central Chile along with the
 248 tree-ring based annual precipitation reconstruction of *Le*
 249 *Quesne et al.* [2009]. Again, the two reconstructions show
 250 similar decadal-scale fluctuations and the period with the
 251 largest discrepancy (early 18th century) corresponds to an
 252 episode of reduced agreement among ensemble members.
 253 Both validations reveal a good agreement over the data rich
 254 20th century, indicating increasing (decreasing) precipitation
 255 amounts in the Laguna Mar Chiquita (Central Chile). Further
 256 back in time, reconstruction uncertainties (i.e. the spread of
 257 the ensemble members) increase and the agreement with the
 258 independent reconstructions decreases. We suggest that the
 259 dissimilarities are mainly due to the different target seasons
 260 (annual vs. DJF + JJA), the decreasing number of predictors
 261 available in the multiproxy reconstructions as well as
 262 increasing dating uncertainty and decreasing temporal reso-
 263 lution of the lake sediment record back in time. In Central

Chile, the differences may also be due to the different cali- 264
 bration data (instrumental station vs. grid) and calibration 265
 periods. 266

4. Conclusions and Outlook 267

[10] This study represents the first near-continental-scale 268
 seasonal precipitation reconstruction within the Southern 269
 Hemisphere. Verification statistics and comparison with 270
 independent, local datasets indicate that the currently 271
 available proxy network allows reasonably assessing varia- 272
 tions of large-scale precipitation variability well beyond the 273
 20th century and over wide areas of SSA. The skill of our 274
 reconstructions is highest in regions with significant 275
 amounts of precipitation falling in the respective seasons 276
 and where the coverage with proxy data is high. Some regions, 277
 including the most densely populated area of SSA in the 278
 north-east, are still very sparsely covered with proxy 279
 data, mainly before 1850. This underlines the need for more 280
 high resolution proxy data from SSA. Our reconstructions, 281
 together with new temperature [*Neukom et al.*, 2010] and 282
 circulation reconstructions, may help to improve our 283
 understanding of the influences of atmospheric and oceanic 284
 circulation patterns on SSA climate, which again can serve 285
 as a base for detection and attribution studies in the area. 286
 The multi-centennial moistening trend in austral summer 287
 and drying trend in winter towards present represent sig- 288
 nificant changes to the seasonal cycle and South American 289
 climatology. Assessment of societal and economic changes 290
 in SSA related to these changes will require further inves- 291

292 tigation. Faced with a changing climate, limited resources,
 293 and a growing population, a long-term baseline and assess-
 294 ment of seasonal, spatial, and temporal changes, such as
 295 provided by these reconstructions, may be useful to help
 296 refine or develop water-allocation agreements.

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